

		Hospital and medical gas systems _____ %
		Other (specify _____) _____ %
Low Risk Total _____ %	Medium Risk Total _____ %	High Risk Total _____ %

If High Risk Total is **more than 50%** and the **general liability premium** is **less than \$40,000** the risk is **ineligible** for the program.

6. Has the risk been cited for any OSHA violations in the last three years? **If yes**, please explain further. Yes No

7. Indicate percentage of work in the following scope of operations/specialty, if applicable?

Ammonia refrigeration system installation/maintenance/repair _____ %	Roofing/Siding/Decking _____ %
High Pressure Boiler Inspection/Maintenance/Repair _____ %	Solar Energy _____ %
Liquefied Petroleum Gas (LPG) Systems Installation/Service/Repair _____ %	Piping Insulation _____ %
Machinery/Equipment Installation or Repair (Millwright) _____ %	Industrial Furnaces _____ %
Fabrication of <i>architectural sheet metal</i> _____ % <i>industrial sheet metal</i> _____ % <i>kitchen equipment</i> _____ % other steel products (specify _____) _____ %	Sheet Metal Installation _____ %
Design/Build Project Delivery _____ %	Fire Sprinkler/Suppression _____ %
Testing for indoor air quality (IAQ) or offering opinions for remedial actions for indoor air quality _____ %	Underground Utility _____ %
*Install of combustible dust-collection systems in occupancies such as a grain mill, wood processing plant, etc. or systems that collect asbestos _____ %	*Asbestos Abatement _____ %

***Ineligible operation, consult underwriting.**

8. Other operations? Yes No

If **Yes**, please describe.

9. Does the insured offer 24-hour emergency repair service? Yes No

10. Indicate the average percentage of the risk's TOTAL payroll or sales during the past 5 years for the following: Percentages based on: (Check one) Payroll Sales

HABITATIONAL WORK				
Please complete if the risk does any habitational work.				
HABITATIONAL WORK BREAKDOWN	% NEW or MAJOR REHAB/ RENOVATION	+	% SERVICE OR MAINTENANCE	=
<input type="checkbox"/> CONDOMINIUMS (High And Low Rise)	_____ %	+	_____ %	≡ _____ %
<input type="checkbox"/> MULTI-FAMILY OWNED DEVELOPMENTS (including townhouses)	_____ %	+	_____ %	≡ _____ %

<input type="checkbox"/> TRACT HOUSING	%	+	%	=	_____%
<input type="checkbox"/> TRIPLEXES AND DUPLEXES	%	+	%	=	_____%
<input type="checkbox"/> APARTMENTS	%	+	%	=	_____%
<input type="checkbox"/> Other _____	%	+	%	=	_____%

11. Does the risk have any future plans related to work involving apartments, condos, townhouses, tract homes, custom homes or homes of unusual design. Yes No

If **Yes**, please describe.

12. List the states the insured worked in during the last 5 years.

13. Has the risk ever installed or have any future plans involving the installation of EIFS? Yes No

14. Has the risk ever been named in claims and/or litigation regarding faulty or defective construction or workmanship, including claims due to *subsidence* issues or use of EIFS? Yes No

If **Yes**, was risk acting as a: general contractor sub-contractor
 What type of project? habitational commercial

Provide detail on claims/litigation and how the issue was corrected.

15. Does risk have knowledge of any pre-existing act, omission, event; condition or damages to any person or property that may potentially give rise to any future claim or legal action? Yes No

If **Yes**, please describe.

If the answers to questions 11, 12 or 13 are **Yes**, please discuss the risk with your underwriter.

16. Any current or past involvement with *wrap-up/OCIP*? Yes No
 Any residential *wrap-ups*? Yes No

17. Does the risk have a quality control program? Yes No
 If **Yes**, is it Informal Documented

18. Does the risk retain job files? Yes No
 If **Yes**, how long are they retained?

19. Indicate the types of subcontractor agreements the risk typically signs.

Standard (AGC, AIA contracts) Custom Other _____

20. List the types of work subcontracted:

Does the risk obtain Certificates of Insurance from all subcontractors? Yes No

Is there a Diary System in place to track expiration dates of certificates of insurance? Yes No

Is the risk named as an additional insured on all subcontractors' policies? Yes No

Does the risk require all subcontractors to carry primary limits equal to or greater than their own? Yes No

Does the risk use written subcontractor agreements containing hold harmless/indemnity Yes No

agreements in favor of the risk?

If subs are hired does legal counsel or the insurance agent review all contracts?

Yes No

21. Does the insured have a New Hire Orientation Program with pre-physicals, drug screening, etc.?

Yes No

22. Are safety meetings held on a quarterly basis?

Yes No

Do managers and employees attend, and are attendance records kept?

Yes No

If less than quarterly, how often?

23. Trade association affiliation? ACCA MCAA SMACNA Other (list)

Yes No

Answering this question is optional. Association membership is not a requirement for insurability.

24. Does the risk have an architect or engineer on staff?

Yes No

If **Yes**, does the risk carry professional liability insurance?

Yes No

If **No**, does the risk require that the architect or engineer carry his/her own professional liability insurance?

Yes No

DEFINITIONS

Architectural Sheet Metal is specifically fabricated for use in specialty applications such as new or restorative cooper roofs, dome covers, cornices, facades, skylights, moldings, finials, inlaid gutters and similar applications.

Construction Manager – Construction management takes two forms - "pure agency" construction management and "at risk" construction management. "A pure agency construction manager" is an agent of the owner, neither designing nor constructing the project. Instead, the manager administers the construction contract throughout the planning, design and construction phases of the project. An "at risk construction manage" provides construction advice and construction leadership on a project during the planning and design stages and also provides construction leadership, contract management, direction, supervision, coordination and control of the work during the construction phase.

EIFS - Exterior Insulation Finishing Systems - multi-layered exterior wall systems (which resemble stucco in appearance) that are used on both commercial buildings and residential homes.

General Contractor is a contractor who subcontracts work to others in excess of 50% of their total receipts, exercises primary control of the job site, and is named in the construction documents as the general contractor of record.

Habitational work: Condominiums, triplexes, duplexes and townhouses.

High Pressure Boiler

- Any steam vessel with pressure over 15 pounds per square inch (p.s.i.);
- Any water vessel with pressure over 30 p.s.i. used for heating a building;
- Any other water vessel with pressure over 15 p.s.i.

Industrial Sheet Metal is used in the fabrication of tanks, tubs, stacks, ductwork, machine guard panels or other non-operative components. This also includes dust collection and air handling conveyance systems.

Kitchen Equipment is fabricated from stainless steel and other metals for specialty applications in hospitals and kitchens for counters, ice dispensing bins, benches, lift carts, tables and similar items.

Prime Contractor: Any contractor on a project having a contract directly with the owner. The principal contractor on a project.

Process Piping as defined in this program refers to any commercial/industrial piping work that is installed to custom design specifications. It can consist of various piping materials such as standard carbon steel, stainless steel and specialty plastic polymers. These systems may carry a variety of substances, including hot and cold water, steam, air, chemicals and gas. Refer to Question 4. for a listing of the most common industries for process piping work.

Residential work: A subset of habitational work defined as new or major rehabilitation of multi-family owned developments, tract housing developments and condominium projects.

Solar Energy Contractor is a contractor that specializes in the installation, service, repair and sale of heating systems that derive their energy directly or indirectly from the sun. The Workers Compensation class code is "Roofing" (code 5551) because most activities are conducted on the roof when installing Solar Energy systems.

Subsidence: Any movement of land or earth including: landslides; mudflow; earth sinking, rising, and shifting; collapse or movement of fill, earth settling, slipping, falling away, caving in, eroding or tilting; and earthquake.

Wrap-up (OCIP): A policy providing coverage(s) for all interests in a major construction project. Also known as an OCIP (Owner Controlled Insurance Program or a CCIP (Contractor Controlled Insurance Program).

Will you commit to participation in the Claim Documentation Program, which will be included in your costs?

_____*

(*Required to participate)

Information provided by the named insured to the Claim Documentation Program will be kept in strict confidence and can only be released to the insurance carrier's claims adjuster related to a specific claim reported by the above noted named insured. This information will not be released without the express written consent of the named insured or the insured's agent, unless ordered to do so by court processes. In the event any records are subpoenaed the insured will be notified immediately.

Producer's Signature

Date

Applicant's Signature

Date